This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

FACSIMILE LEAD SHEET

FROM: GE GLOBAL RESEARCH

Patent & Legal Operation PO Box 8 Bldg. Kl 4A59 Schenectady, New York 12301

Office of Initial Patent Examination's Filing Receipt Corrections

FILING RECEIPTS CORRECTION

Confidentiality Note:

This document is intended only for the use of the person to whom it is addressed; the document may contain information that is privileged, confidential and exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, or the employee or agent responsible for delivering it to the intended recipient, please immediately notify us by email, and return the original message to us at the above address without any dissemination, distribution or copying of this communication. Thank you

DATE: 1/22/04

TO: Filing Receipts Corrections

FROM: Sandra Preisman

RE: SN 10/647889

122897-1

EMAIL: Preisman@crd.ge.com

FAX: (518)387-6110

COMMENTS:

Please change the filing date from 8/26/2003 to 8/25/2003. Attached please find the filing receipt and a copy of the receipt from the Post office with the express mail date stamped 8/25/2003.

| Thank you | |
|-----------|--|
|-----------|--|



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS RO. Box 1439 Advanta, Viginia 22311-1430 www.uspic.gov.

APPL NO. | FILING OR 371 | ART UNIT | FIL FEE REC'D | ATTY.DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS | 10/647,889 | 08/26/2003 | 1711 | 1110 | 122897-1 | 6 | 40 | 2

General Electric Company CRD Patent Docket Rm 4A59 Bldg. K-1 P.O. Box 8

Schenectady, NY 12301

NOV 21

CONFIRMATION NO. 3526

Date Mailed: 11/18/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an rror is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facelmile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Daniel Joseph Brunelle, Burnt Hills, NY; Hava Yagci Acar, Clifton Park, NY; Farid Fouad Khouri, Clifton Park, NY; Thomas Link Guggenheim, Mt. Vernon, IN; David Winfield Woodruff, Clifton Park, NY; Norman Enoch Johnson, Mt. Vernon, IN;

Assignment For Published Patent Application

General Electric Company;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 11/18/2003

Projected Publication Date: 03/03/2005

Non-Publication Request: No

Early Publication Request: No

518 387 6110 TO 817037469195

Title

Phase transfer catalyzed method for preparation of polyetherimides

Preliminary Class

528

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LIGENSE GRANTED" followed-by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application of a secreey has not received any indication of a secreey order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

| JAN 22 2004 12:38 FR GE CORPRATE R/D 5 EXPRESS POST OFFICE MAIL UNITED STATES POSTAL SERVICES TO ADDRESSEE | 18 387 6110 TO 817037469195 P.04/04 |
|---|---|
| ORIGIN (POSTAL USE ONLY) PO IT Case Date in | SEE REVERSE SIDE FOR USEBVICE GUARANTEE AND LIMITS ON INSURANCE COVERAGE |
| Walgird Walgird Ho Delivery Accoptance Cides Intiffes Total England Accoptance Cides Intiffes Western Western Western X123051 | CONTRACTOR AGENT AND |
| FROM: OLEME PRINTI GENERAL ELECTRIC COMPANY CRD. PATENT DOCKET RM 4AS9 BLDG K-1 | U.S. Patent and Trademark Office 2011 South Clark Place Customer Window, Mall Stop Patent Application |
| PO BOX S SCHENECTADY NY 12301-0008 124113-1, 122897-1, 128394-1 FOR PICKUP OR TRACKING CALL 1-80 | Crystal Plaza Two, Lobby, Room 1803 Arlington, VA 22202 |